

## Call for Tenders - Provision of support for project management, quality assurance and dry-run exercise

### CORRIGENDUM No3

#### To the Tender Specifications of the Call for Tenders n° SRB/OP/2/2016 ( OJ 2016/S 166-298473)

The following changes have been made:

- 1) The date of the time limit for receipt of tenders has been extended to 31/10/2016;
- 2) The date of the opening of tenders has been changed to 07/11/2016;
- 3) The mathematical formula and thresholds per criterion that will be used for evaluation purposes have been disclosed;
- 4) The total of the hourly rate price.

### Consolidated version

#### 4.3 Award Criteria

Only the tenders meeting the requirements of the exclusion and selection criteria will be evaluated in terms of quality and price.

For all bids, evaluators will give marks between 0-10 points (half points are possible) for each quality criterion Q1, Q2a & Q2b. Only bids that have reached the pass mark for each quality criterion will be taken into consideration when calculating the score for quality  $SQ$ , score for price  $SP$  and score  $S$ .

Each quality (Q1, Q2a & Q2b) and price (P) criterion will be weighted in order to contribute to the overall score  $S$ .

The criteria are provided in the following table:

Criterion	Title	Weighting	Pass mark
Q1	Methodology to carry out the activities specified in 2.d of the Tender Specifications in terms of quality of the intended approach to perform the assignments and quality and relevance of the service organization	$W_1 = 20\%$	5 points
Q2a	Description of a resolution case	$W_{2a} = 20\%$	5 points
Q2b	Description of performing a dry-run exercise	$W_{2b} = 20\%$	5 points
P	<b>Total of the hourly rate price = (sum of the intra and extra muros hourly prices for a Partner * 10%) + (sum of the intra and extra muros hourly prices for a Managing Consultant * 15%)</b>	$W_{Price} = 40\%$	n/a

	+ <b>(sum of the intra and extra muros hourly prices for a Principle Consultant * 35%)</b> + <b>(sum of the intra and extra muros hourly prices for a Senior Consultant * 25%) +</b> <b>(sum of the intra and extra muros hourly prices for a Consultant * 10%)</b> + <b>(sum of the intra and extra muros hourly prices for a Junior * 5%)</b>		
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The score is calculated as

$$S = SQ + SP$$

where:

The average quality for quality criterion  $i$  is

$$Q_i = \frac{1}{\text{number of evaluators}} * \sum_{\text{evaluator}} \text{mark of the evaluator for quality criterion } i$$

The overall weighted quality is

$$Q = \sum_i Q_i * W_i$$

The score for quality is

$$SQ = \frac{Q}{Q \text{ of the bid with highest } Q} * 100 * \sum_i W_i$$

The score for price is

$$SP = \frac{\text{lowest Price}_i \text{ of all bids}}{\text{Price}_i} * 100 * W_{\text{Price}_i}$$

The Multiple Framework Service Contract will be awarded to the tenderers who **submit the most economically advantageous bid** (those with the highest score) **based on the quality criterion and the associated weightings:**

The criteria for the award of the Multiple Framework Service Contract are as follows:

There are 2 quality criteria (60%):

1. Quality criterion 1 (Q1): ( $W_1 = 20\%$ ): Methodology to carry out the activities specified in 2.d of the Tender Specifications in terms of quality of the intended approach to perform the assignments and quality and relevance of the service organization. The description should notably include interfaces, distribution of the roles and responsibilities, allocation of time and resources to fulfil the activities, level of traceability/auditability and quality control system, level of segregation of assignments and the continuity of the service in case of absence

of the member of the team. For the purpose of the evaluation, the Tenderers are required to complete Annex 8 – Draft Service Level Agreement and to provide any relevant evidence.

2. Quality criterion 2 (Q2) ( $W_2 = 40\%$ ): Approach and methodology for the below scenario. To this effect, the tenderer should provide a technical note (limited to **max 10 A4** pages per description) on the following topics:

- ( $W_{2a} = 20\%$ ): Description of a resolution case, involving a cross-border medium-size banking group with 3 subsidiaries (one in the Banking Union, one in the EU but outside the Banking Union, one outside the EU) facing a systemic crisis and where the SRB acts as the Group Level Resolution Authority, highlighting the main organisational and procedural steps in relation to the following scenario: (a) public interest assessment to be taken by the SRB; (b) determination and execution of bail-in. The analysis should focus on operational and organizational aspects, inter alia, on proposing a suitable business organization, processes and procedures, workflows with related approvals, contingency plans, audit trail and IT solutions and include the necessary interactions with relevant stakeholders (i.e. between SRB, NRAs, EC, ECB, the banking group, etc).
- ( $W_{2b} = 20\%$ ): Description of performing a dry-run exercise focusing on the above scenario. This exercise should focus on, inter alia, reporting, timelines, internal organisational arrangements and interactions with relevant stakeholders (i.e. between SRB, NRAs, EC, ECB, the banking group, etc), proposals for corrective measures and communication procedures.

#### **and the price criterion and associated weighting:**

Price of the bid ( $W_{Price} = 40\%$ ).

For evaluation purposes only, the below scenario for the price of the team for hourly rates will be used to compare the bids. The percentages reflect the estimated involvement of the various profiles in the team. It should be noted that the actual use of profiles during the execution of the Multiple Framework Service Contract may differ from the below indicative distribution.

**Total of the hourly rate price =**  
**(sum of the intra and extra muros hourly prices for a Partner \* 10%)**  
**+**  
**(sum of the intra and extra muros hourly prices for a Managing Consultant \* 15%)**  
**+**  
**(sum of the intra and extra muros hourly prices for a Principle Consultant \* 35%)**  
**+**  
**(sum of the intra and extra muros hourly prices for a Senior Consultant \* 25%)**  
**+**  
**(sum of the intra and extra muros hourly prices for a Consultant \* 10%)**  
**+**  
**(sum of the intra and extra muros hourly prices for a Junior \* 5%)**

The tenderer should complete the **corrected version Annex 2** of the Tender Specifications (Financial offer) with the hourly rates per profile. The hourly rates the tenderer commits to (during the procurement process) are the maximum rates that the successful tenderers will be able to charge under the Specific contracts.

This amount shall be fixed and all-inclusive of the costs involved in the performance of the contract (e.g. include fees, meetings, delivery, supply, installation, maintenance, travel subsistence etc.). No expenses incurred in the performance of the services will be reimbursed separately by the SRB.

**NB:**

**In line with Q&A nr 14, should tenderers have submitted an offer, the authorized representative must clearly declare on honour in the cover letter of the modified tender that the new bid supersedes the bid already submitted and that the tenderer is the same in both bids. As a consequence, the earlier bid will not be evaluated.**