FOR THE MEMBERS AND ALTERNATES OF THE APPEAL PANEL OF
THE SINGLE RESOLUTION BOARD

DECLARATION
OF COMMITMENTS AND INTERESTS

according to Article 85(5) of the Regulation (EU) No 806/2014 (the "SRM Regulation").

This questionnaire aims at allowing the Single Resolution Board (hereinafter the "Board") as authority empowered to establish an Appeal Panel (the "Panel") and to appoint the Members of the Panel ("Members") and their alternates ("Alternates") to identify potential or actual conflicts of interest in relation to their membership in the Panel and the appropriate measures to be adopted, if any.

This questionnaire does not exempt Members and Alternates of the Panel from complying with all the ethics obligations imposed on them by applicable regulations.

Once this form has been finalised, one copy will be transmitted to the Board's Ethics Officer (the "Ethics Officer") for assessment and to the Board's Human Resources Team for insertion in the personal file. Part I of the below statement by a Member or Alternate will be published on the Board's website according to Article 85(5) SRM Regulation. One copy will also be transmitted to the Member and Alternate.

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**Personal Information**

Surname/first name: Ramos Muñoz, David
Address for correspondence:
Univ. Carlos III de Madrid. Calle MADRID, 126, GETAFE 28903.
Home telephone number:
Work telephone number:
Private E-mail address:
Work E-Mail address: David.RAMOS-MUNOZ@srb.europa.eu

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DECLARATION

according to Article 85(5) Regulation (EU) 806/2014 of 15 July 2014
establishing uniform rules and a uniform procedure for the resolution of credit institutions
and certain investment firms in the framework of a Single Resolution Mechanism and a
Single Resolution Fund

PART I

Surname/first name:  Ramos Muñoz, David

DECLARATION OF COMMITMENTS

The Member or Alternate of the Appeal Panel to the Single Resolution Board hereby
declares to, either as Member or as Alternate to the Appeal Panel:

(i) possess the necessary relevant knowledge and professional experience, including
resolution experience, to a sufficiently high level in the fields of banking or other
financial services;

(ii) be independent of the Single Resolution Board and its engagements, including by not
being employed as current staff, nor as current staff of resolution authorities or other
national or Union institutions, bodies, offices and agencies who are involved in
performing the tasks conferred on the Single Resolution; and

(iii) to allocate sufficient time and effort to the work of the Appeal Panel, within
reasonable limits and the necessary organisational and financial support by the Single
Resolution Board.

DECLARATION OF INTERESTS

INDICATING ANY DIRECT OR INDIRECT INTEREST WHICH MIGHT BE
CONSIDERED TO BE PREJUDICIAL TO HIS/HER INDEPENDENCE OR THE
ABSENCE OF ANY SUCH INTEREST

Do you have any direct or indirect personal interest, in particular a family or financial
interest, or do you represent any other interests of third parties which would actually or
potentially impair your independence in the course of your duties as Member or Alternate
of the Appeal Panel and which might be considered to be prejudicial to your
independence thus leading to any actual or potential conflict of interest relevant to that
position?

YES ☐ NO X

If yes, please detail:

________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________

I hereby certify that the information provided in this form is correct and complete and
that my curriculum vitae is duly updated to date and attached to this declaration. I will
immediately inform the Single Resolution Board of any change in my situation, or of any
new relevant information I receive which could cause a breach of Article 85 Regulation (EU) 806/2014. I am aware that any false declaration may result in disciplinary sanctions and the exclusion from my duties as Member/Alternate of the Appeal Panel.

Signature of the Member or Alternate of the Appeal Panel:

Date: 15/11/2018
FOR USE BY THE ADMINISTRATION ONLY

PART II

Pre-Selection opinion by the ETHICS Officer based on this form and on the (updated) CV provided by the Member or Alternate of the Appeal Panel
- Not to be published -

1. □ No identification of personal interest by the Member or Alternate of the Appeal Panel.

   • If the Member or Alternate of the Appeal Panel has not identified any personal interest, in particular a family or financial interest, or representation of any other interests of third parties which may lead to an actual or potential conflict of interest and if you confirm that opinion, **this form is considered as finalised and will not be assessed by the Board.**

2. □ Identification of personal interest by the Member or Alternate of the Appeal Panel, but no (risk of) conflict of interest identified.

   • If the Member or Alternate of the Appeal Panel has identified any personal interest, in particular a family or financial interest, or representation of any other interests of third parties which may, in his view, lead to an actual or potential conflict of interest, please explain why you believe there is no (risk of a) conflict of interest.

3. □ (Potential) conflict of interest identified:

   • Describe the personal interests which would actually or potentially impair the Member or Alternate of the Appeal Panel's independence in carrying out his duties in the specific position or any other circumstance which actually or potentially constitutes a conflict of interest with respect to the position.

   • Describe measures which could be taken to mitigate the negative effects of the actual or potential conflict of interest.

   • Explain how the measures proposed are proportionate to the scope of the actual or potential conflict of interest.

   • In case such measures as described above have already been discussed with the Member or Alternate of the Appeal Panel, please describe the views of the Member or Alternate.
PART III

OPINION OF THE BOARD (ONLY TO BE FILLED IN CASES OF II.2 OR II.3 ABOVE)
- NOT TO BE PUBLISHED -

☐ (Potential) conflict of interest

- Describe the personal interests which would actually or potentially impair the Member or Alternate of the Appeal Panel's independence in carrying out his duties in the specific position or any other circumstance which actually or potentially constitutes a conflict of interest with respect to this position.

- Describe measures which could be taken to mitigate the negative effects of the actual or potential conflict of interest.

- Explain how the measures proposed are proportionate to the scope of the actual or potential conflict of interest.

☐ No (risk of a) conflict of interest

- If the Single Resolution Board or the Member or Alternate of the Appeal Panel has identified a (potential) conflict of interest, please explain why you, as Board, believe there is no (risk of a) conflict of interest.

CONCLUSION

SURNAME-FIRST NAME: ........................................... POSITION: ...........................................

SIGNATURE: ........................................... DATE: / / / ....