

## Call for tenders No SRB/OP/2/2016

### Serie 2: Answers to Questions 3 – 7

#### Question 03 (dated 16/09/2016 at 09:47):

We would like to post the following questions, outlined below:

- 1) We are a formal network of member firms, do we need to provide for each member firm i.e. other than the main contractor, the following:
  - Letter of intent (for subcontractors with share>10%)
  - Declaration on Honour (for subcontractors with share>10%)
  - Written undertaking (see 4.2.3 page 21)
- 2) Are we already registered in the SRB accounting system? (page 5: need for evidence on Legal entity form)
- 3) We have posted our first question Friday the 9<sup>th</sup> of September 2016, when can we expect a reaction to this question and how will this be made available to us?

#### Answer to question 03:

Regarding your questions:

- 1) Our understanding is that your firm is an international professional services network of independent companies and that you would like to participate in the tender process as a sole tenderer with the local member firms of your network being subcontractors.  
If our understanding is correct, a letter of intent and a declaration on honor shall be submitted for each subcontractor with a share above 10%. If the sole tenderer relies on the capacities of other entities a written undertaking on the part of those entities confirming that they will place the resources necessary for performance of the contract at tenderer's disposal. Where the tenderer relies on the capacities of other entities with regard to criteria relating to economic and financial capacity, the SRB maintains the right to require that the economic operator and those entities should be jointly liable for the performance of the contract.
- 2) If you have already submitted a tender in the past with the same registration number, then you do not need to resubmit the requested evidence.
- 3) In accordance with point 9 paragraph 5, additional information is published on the SRB website. It is the tenderer's responsibility to check for updates and modifications during the submission period.

#### Question 04 (dated 16/09/2016 at 16:46):

We would like a clarification question:

Annex 8: Service Level Agreement (SLA): Section 1.1. Evidence.

Could you please clarify if an actual comparable contract is required or if the provision of a detailed case study describing the scope, size and complexity would be sufficient?

#### Answer to question 04:



In accordance with the Draft Service Level Agreement, the requested evidence should consist of a comparable contract not a case study. As evidence, please enclose a reference letter as proof of a comparable contract (including the value, duration, scope, size and level of complexity).

Question 05 (dated 15/09/2016 at 18:14):

We will be pleased to participate to the new call for tender mentioned above.

By this e mail, we request to participate. Are you going to send us the tender please?

Is The time limit of the 05/10/2016 for the reception of the answers or for the requesting only?

Could you advise us specifically on the process and how we have to understand the different steps expected please?

Answer to question 05:

All the information has been published on the SRB Website: <https://srb.europa.eu/en/tenders>

Kindly note that this is an OPEN call for tenders (a one step procedure and there is no need to request to participate). The tenderers are requested to submit their offer within the submission deadline you stated above. To facilitate tenderers, SRB has provided a checklist in order to avoid omission of any requested documentation.

Regarding the procedure, the Tender Specifications explain not only the tendering procedure but also the technical content of the tender, the evaluation and award criteria.

Question 06 (dated 14/09/2016 at 18:12):

Referring to the scope of services required (section 2.d.ii.):

- Could you confirm that the services areas listed in the table refer to the internal organization of the SRB as a whole in all its activities (requirements and associated procedures, documentation, methods & tools), or rather refer to how the SRB is organized in analyzing a specific case for a specific bank (e.g. developing a roadmap for a resolution case)?
- Stock taking exercise: Could you be more specific with regards to the type of data in scope (e.g. financials, documentation, etc.)? Should the methodology proposed also take into consideration technical considerations (technological solution for data gathering, storage, requests, architectural considerations, ...) or will this be performed using SRB's infrastructure ?
- Dry run exercise: Could you be more specific with regards to the scope and objectives of what a dry run exercise would consist in? Is our understanding correct that this exercise should be linked to a specific resolution scenario and how that is (or has to be) managed by the SRB?
- Could you confirm that in describing our methodology for the service areas, we can consider this as a logical series of steps (e.g. a roadmap will be set up, based on a gap analysis and stock taking exercise performed) – or should our methodology for the different service areas be presented in isolation?

Answer to question 06:

- The services areas listed in the table refer to the SRB internal and external organization to support the agency in its activities of banking crisis management and resolution. This organization should be assessed and tested via dry-run(s) and be fit for the purpose.



- The nature and purpose of the data to be collected in the context of the stock tacking exercise is diverse. The type of data comprises inter alia standard templates, manuals, documentations. In performing this task, the bidder is not limited to the current SRB's infrastructure and can propose technological solutions.
- The purpose of the dry-run exercise(s) is essentially to test the recommendations and solutions implemented by the bidder and to ensure that they meet their goals. Scenario of a fake bank failing will have to be designed and the dry-run(s) will be performed on the basis of these scenarios.
- The methodology for the service areas is mainly sequential: the outcomes of each stage are building blocks of the following tasks.

Question 07 (dated 22/09/2016 at 08:50):

In Annex 8 section 1.1 Evidence, it mentions to provide proof of at least 1 comparable contract. However, typically these type of engagements are bound by strict confidentiality on both sides. As indicated in the Q&A, we would not have any issues with providing the company name and contact person for a reference on a comparable contract. Would a description of the services provided including the scope, size and level of complexity also constitute as sufficient proof?

As explained in Answer 02 point 4, the evidence to be provided should be of at least 1 comparable contract on a name basis. Tenderers should also describe the services including the scope, size and level of complexity. A letter of reference is also necessary.

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Requests for additional information regarding this tender should be sent by e-mail to the following address [SRB-PROCUREMENT@srb.europa.eu](mailto:SRB-PROCUREMENT@srb.europa.eu). The Contracting Authority is not bound to reply to requests for additional information received less than six working days before the final date for submission of tenders.

The deadline for submission of the bids of this tender is **14/10/2016**

Responsibility for monitoring the Agency's website for replies to queries and/or further information remains with potential applicants.